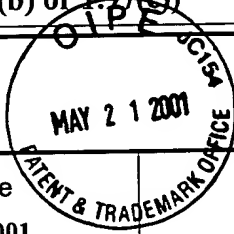


2874

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
113197-006

In Re Application Of: Matsuo, S., et al.



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MAY 23 2001

Serial No. 09/786,855	Filing Date March 9, 2001	Examiner Unknown	Group Art Unit 2874
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Title: **DISPERSION SHIFTED OPTICAL FIBER**

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

**OR**

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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## TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c) E

Docket No.  
113197-006

In Re Application Of: Matsuo, S., et al.

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MAY 23 2001

Serial No.

09/786,855

Filing Date

March 9, 2001

Examiner

Unknown

Group Art Unit

2874

Title: DISPERSION SHIFTED OPTICAL FIBER

## Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

## Certificate of Transmission by Facsimile\*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_)

on \_\_\_\_\_  
(Date)

Signature

Typed or Printed Name of Person Signing Certificate

## Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on May 17, 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.5 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Robert J. Buccieri

Typed or Printed Name of Person Mailing Correspondence

\*This certificate may only be used if paying by deposit account.

Signature

Dated: May 17, 2001

Michael S. Leonard (Reg. No. 37,557)  
Bell, Boyd & Lloyd LLC  
P.O. Box 1190  
Chicago, Illinois 60690-1135

CC:



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IN THE UNITED STATES ELECTED/DESIGNATED OFFICE  
OF THE UNITED STATES PATENT AND TRADEMARK OFFICE  
UNDER THE PATENT COOPERATION TREATY

INFORMATION DISCLOSURE STATEMENT

APPLICANT(S): Matsuo, S. et al. / DOCKET NO.: 113197-006  
SERIAL NO: 09/786,855 / GROUP ART UNIT: 2874  
INT. APP. NO. PCT/JP00/04620 EXAMINER: Unknown  
INTERNATIONAL FILING DATE: July 11, 2000  
INVENTION: DISPERSION SHIFTED OPTICAL FIBER

#3  
105  
J. M. C. [signature]  
6/22/01

Assistant Commissioner for Patents  
Washington, D.C. 20231

S I R:

In accordance with the provisions of 37 C.F.R. 1.56, Applicants request that citation and consideration of the references identified on the attached PTO-1449 form, copies of which are enclosed herewith in accordance with 37 C.F.R. 1.98, be made during the course of examination of the above-mentioned application for United States Patent.

English abstracts for the three Japanese references are enclosed.

Respectfully submitted,

(Reg. No. 37,557)

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Dated: May 17, 2000